



RCE #1704  
C. Quee  
8/13/02  
PTO/SB/30 (10-01)  
OMB 0651-0031

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
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Washington, DC 20231

Application Number	09/581,604
Filing Date	June 15, 2000
First Named Inventor	Hirokazu TANAKA
Art Unit	1773
Examiner Name	Sheeba Ahmed
Attorney Docket Number	1217-001125

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Declaration, Rule 132
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 23-0650
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Checks in the amounts of \$370.00 and 55.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Thomas J. Clinton	Registration No. (Attorney / Agent)	40,561
Signature	<i>Thomas J. Clinton</i>	Date	August 16, 2002

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print / Type)	Ellen Harteau	Date	August 16, 2002
Signature	<i>Ellen Harteau</i>		

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Attorney's Docket No. 1217-001125

**RCE TRANSMITTAL LETTER**

Box RCE  
Commissioner for Patents  
Washington, D.C. 20231

Serial No.: 09/581,604 Filing Date: June 15, 2000  
Examiner: Sheeba Ahmed Group Art Unit: 1773  
Invention: "Coating Liquid for Forming Hard Coat Film and Substrate Coated with Such a Film"

Transmitted herewith is a Request for Continued Examination and Preliminary Amendment in the above-identified application.

- ☒ Small Entity Status is/has been asserted for this application under 37 CFR 1.27.  
☐ A verified statement to establish small entity status under 37 CFR 1.27 is enclosed.  
☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

No of Claims After Amendment	Highest No. Previously Paid For	Present Extra	Small Entity Rate	Non-Small Entity Rate	Charge
Total <u>14</u>	<u>20</u>		x \$ 9.00	x \$ 18.00	\$
Indep. <u>2</u>	<u>3</u>		x \$ 42.00	x \$ 84.00	\$
First Presentation of Multiple Dependent Claim/s			+ \$140.00	+ \$280.00	\$
TOTAL ADDITIONAL FEE					\$

- ☒ A check in the amount of \$ 370.00 is enclosed to cover the RCE fee.  
☒ A check in the amount of \$ 55.00 is enclosed for a one- month Petition for Extension of Time.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650. An original and two copies of this sheet are enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

August 16, 2002

Date

By

*Thomas J. Clinton*

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Ellen Harteau

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